## **PCT**

### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:
C12P 7/64, A23C 9/00
A61K 6/00, 7/00

(11) International Publication Number: WO 92/13086
(43) International Publication Date: 6 August 1992 (06.08.92)

(21) International Application Number: PCT/US92/00517
(21) International Application Number: PCT/US92/00517
(22) International Filing Date: 22 January 1992 (22.01.92)

(31) Designated States: AT, AT (European patent), AU, BB, BE (European patent), BF, (OAPI patent), BG, BJ (OAPI patent), BR, CA, CF (OAPI patent), CG (OAPI patent), CH, CH (European patent), CI (OAPI patent), CM

645,454 24 January 1991 (24.01.91) US

(71) Applicant: MARTEK CORPORATION [US/US]; 6480

Dobbin Road, Columbia, MD 21045 (US).

(72) Inventor: KYLE, David, J.; 1801 Narbeth Road, Catons-

(74) Agents: ERNST, Barbara, G. et al.; Rothwell, Figg, Ernst & Kurz, 555 Thirteenth Street, N.W., Suite 701 East, Washington, DC 20004 (US).

(81) Designated States: AT, AT (European patent), AU, BB, BE (European patent), BF (OAPI patent), BG, BJ (OAPI patent), BR, CA, CF (OAPI patent), CG (OAPI patent), CH, CH (European patent), CI (OAPI patent), CM (OAPI patent), DE, DE (European patent), DK, DK (European patent), ES, ES (European patent), FI, FR (European patent), GA (OAPI patent), GB, GB (European patent), GN (OAPI patent), GR (European patent), HU, IT (European patent), JP, KP, KR, LK, LU, LU (European patent), MC (European patent), MG, ML (OAPI patent), MR (OAPI patent), MW, NL, NL (European patent), NO, PL, RO, RU, SD, SE, SE (European patent), SN (OAPI patent), TD (OAPI patent), TG (OAPI patent).

**Published** 

With international search report.

(54) Title: ARACHIDONIC ACID AND METHODS FOR THE PRODUCTION AND USE THEREOF

#### (57) Abstract

(30) Priority data:

ville, MD 21228 (US).

The present invention relates to processes for the production of arachidonic acid containing oils, which preferably are substantially free of eicosapentaneoic acid. The invention also relates to compositions containing such oils, in an unmodified form, and to uses of such oils. In a preferred embodiment, *Pythium insidiosum* is cultivated, harvested and the oil is extracted, recovered, and used as an additive for infant formula.

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FI	l-inland	MI.	Mali
AU	Australia	FR	France	MN	Mongolia
BB	Barbados	GA	Gabon	MR	Mauritania
		GB	United Kingdom	MW	Malawi
BE BF	Belgium Burkina haso	GN	Guinea	NI.	Netherlands
BG		GR	Greece	NO	Norway
-	Bulgaria	HU	Hungary	PL	Poland
BJ	Benin	IE	Ireland	RO	Romania
BR	Brazil	IT.	haly	RU	Russian Federation
CA	C'anada			SD	Sudan
CF	Central African Republic	JP	Japan		Sweden
CG	Congo	KP	Democratic People's Republic	SE	•
CH	Switzerland		of Korea .	SN	Senegal
CI	Côte d'Ivoire	KR	Republic of Korea	รบ	Soviet Union
		LI	Liechtenstein	TD	Chad
СМ	Cameroon	LK	Sri Lanka	TG	Togo
CS.	Czechoslovakia			us	United States of America
DE	Germany	ı.U	Luxembourg	US	Direct States of Patientes
DK	Denmark	MC	Monaco		
ES	Spain	MG	Madagascar		

10

15

20

25

# ARACHIDONIC ACID AND METHODS FOR THE PRODUCTION AND USE THEREOF

This invention relates to the production of arachidonic acid, to compositions containing arachidonic acid and to uses thereof.

Arachidonic acid (ARA) is a long chain polyunsaturated fatty acid (PUFA) of the omega-6 class (5,8,11,14-eicosatetraenoic acid, i.e., 20:4). the most abundant C20 PUFA in the human body. particularly prevalent in organ, muscle and blood tissues, serving a major role as a structural lipid associated predominantly with phospholipids in blood, liver, muscle and other major organ systems. addition to its primary role as a structural lipid, ARA also is the direct precursor for a number of circulating eicosenoids such as prostaglandin  $E_2$  (PGE2), prostacyclin  $I_2$  (PGI<sub>2</sub>), thromboxane  $A_2$  ( $T_x\Lambda_2$ ), and leukotirenes  $B_4$  (LTB<sub>4</sub>) and  $C_4$  (LTC<sub>4</sub>). These eicosenoids exhibit regulatory effects on lipoprotein metabolism, blood rheology, vascular tone, leucocyte function and platelet activation.

Despite its importance to human metabolism, ARA cannot be synthesized in humans <u>de novo</u>. ARA is synthesized by the elongation and desaturation of linoleic acid (LOA), an essential fatty acid. This process requires the presence of the enzyme  $\Delta 6$ -desaturase, an enzyme present in the human body in low levels, Burre et al., <u>Lipids</u>, 25:354-356 (1990).

10

15

20

25

30

Accordingly, most ARA must be provided in the diet, and this is especially important during times of very rapid body growth, such as infancy.

During the first year of its life, an infant can double or triple its weight. Consequently, elevated levels of dietary ARA are required. To satisfy this increased demand, human breast milk contains high levels of ARA. Sanders et al., Am. J. Clin. Nutr., 31:805-813 (1978). ARA is the most prevalent C20 PUFA in breast milk. Of those mothers, especially vegetarians, who do breast-feed their infants, many would benefit from additional dietary ARA. However, many mothers do not breast feed their infants, or do not breast feed for the entire period of rapid infant growth, choosing instead to utilize an infant formula.

No commercial infant formulas known to Applicant contain ARA. U.S. Patent No. 4,670,285 (Clandinin et al.), incorporated herein by reference, discloses the infant's requirement for fatty acids including ARA. provide these fatty acids, Clandinin et al. suggest a blend of egg yolk, fish oil or red blood cell phospholipids and vegetable oils as the fat component of a proposed infant formula. However, fish oil contains high quantities of eicosapentaneoic acid (EPA). EPA is known to depress ARA synthesis in infants. Carlson, et al., INFORM, 1:306 (1990). it would be desirable to be able to provide ARA without also providing additional EPA. Furthermore, egg yolks contain a relatively low concentration of ARA, such that Clandinin et al.'s mixture is not economically viable.

Because ARA is present in animal, but not vegetable, oils, its production in commercial quantities has remained a desirable, but elusive, goal.

10

15

÷ 874

20

25

Shinmen, et al., <u>Microbiol. Biotech</u>. 31:11-16 (1989), have reported the production of ARA by an isolated fungus, *Mortierella alpina*, using conventional stirred tank fermentation. (See also Japanese Patent 1,215,245 to Shinmen et al.). After culturing, the organisms are harvested, dried and their lipids extracted from the fungal biomass with an organic solvent and the lipids chemically (covalently) modified. For example, the lipid mixture is hydrolyzed or converted to ethyl esters and then combined with cyclodextrin prior to use as a dietary supplement. Shinmen et al. do not disclose or suggest the administration of unmodified microbial oils.

Porphyridium cruentum, a red microalgae, can be grown in ponds in large quantities and has a lipid content which can contain up to 40% ARA. Ahern, et al. Biotech. Bioeng. 25:1057-1070 (1983). Unfortunately, the ARA is primarily associated with galactolipids, a complex polar lipid not present in breast milk. Thus, not only is the total usable ARA produced a fraction of one percent of the biomass, but the form of the ARA is not suitable for use as an additive to infant formula without further modification.

U.S. Patent No. 4,870,011 (Suzuki et al.) discloses a method for obtaining lipids such as  $\gamma$ -linolenic acid from fungi of the genus Mortierella. The  $\gamma$ -linolenic acid is purified from the mixture of lipids contained in the fungi.

DE 3603000A1 (Milupa) discloses a highly

polyunsaturated acid fat mixture and its use as the fat component of an infant formula. The fat mixture has a high content of ARA and docosahexanoic (DHA) acids in a ratio of 2.5:1 respectively, as well as a high content of cholesterol. Sources of the fatty acids are listed

10

15

20

25

30

as being certain types of macroalgae, fish oils, organ fats from beef and pork or highly refined egg yolk oil. A source of the DNA and ARA is said to be macroalgae of the phaecophyte and rhodophyte types. There is no suggestion to use any microbes as a source of oil. Algal and fish oils also typically include EPA which depresses ARA synthesis in vivo. Additionally, highly refined egg yolk oil is not an economical source of ARA. Moreover, there is no disclosure therein of an ARA-concentrated additive for supplementing preexisting infant formula.

Accordingly, there remains a need for an economical, commercially feasible method of producing ARA, preferably without concomitant production of EPA. It is an object of the present invention to satisfy that need.

It is a further object of the invention to provide an additive, and a source for that additive, for use in an infant formula such that the ARA levels in the formula approximate those levels in human breast milk.

It is an additional object of this invention to provide an ARA-containing fungal oil for use in enteral, parenteral or dermal products.

#### Summary of the Invention

This invention relates to the production and use of arachidonic acid containing fungal oil (ARASCO) and to compositions containing such oils. The oil can be referred to as a single cell oil. Fungi are cultivated under oil-producing conditions, harvested and the oil extracted and recovered. The oil, without further chemical modification, can be used directly to provide supplemental ARA to persons requiring such, including newborn infants, pregnant or nursing women or persons

- --

5 :::

10

15

77.

20

exhibiting ARA-deficient pathologies. Advantages of the invention include its ease of production, and high purity, and lack of detectable amounts of EPA.

## Detailed Description of the Preferred Embodiment of the Invention

The present invention succeeds in providing an economical source of arachidonic acid (ARA). In one embodiment, this invention relates to a method for the production of an arachidonic acid-containing fungal oil (ARASCO) which is substantially free of eicosapentaneoic acid (EPA). As used herein, "substantially free" means that the EPA is present in less than about one fifth of the amount of ARA in the This oil, a single cell oil, can be administered directly, in an unmodified form. As used herein "unmodified" means that the chemical properties of the fatty acids, or the oils themselves, have not been covalently altered. Thus, for example, a temporary modification to the ARASCO or ARA which could be reversed following uptake of the oil would not be beyond the scope of this invention.

Fatty Acid Composition of Several Fungal Species. Table 1.

				Fati	ey Acid				tota
Species	14:0	16:0	16.1	18:1	8:1 18:2		20:4	20:5	fat
Mortierella alpina	1.	8.5	!	33.5	16.3		13.0	;	3.0
Mortierella elongata	2.0	13.2	!	26.6	11.9		13.8	2.4	4.0
Mortierella isabellina	0.3	15.7	0.8	55.8	11.1	0.6	;	1	7.3
Saprolegnia parasitica	7.4	19.1	1.9	6.3	24.5	12.5	10.5	10.5	9.3
Pythium catenulatum	6.5	9.9	10.3	21.2	18.5	3.5	13.4	10.9	5.0
Pythium coloratum 13.	13.6	6.6	t I	14.7	10.9	2.5	24.3	21.7	2.2
Pythium gracile	14.7	9.1	2.2	14.8	12.6	3.6	22.1	5.7	4.5
Pythium irregulare	10.3	15.4	6.9	12.3	21.0	ى. و.	10.6	12.4	11.9
Pythium ultimum	9.5	16.7	10.5	17.1	20.7	1.3	9.0	φ ο	13.3
Pythium insidiosum	9 .5	11.4	12.1	1.0		9°.3	31.9	t I	2.8

10

15

20

25

30

Of those fungal species which previously have had their fatty acids characterized, it has been found that most do not make ARA. Weete, J.D., Fungal Lipid Biochemistry, Plenum Press, N.Y. (1974). Of those species which do make ARA, many, including all previously characterized Pythium species, produce significant quantities of eicosapentaenoic acid (EPA) in addition to ARA. Table 1 sets forth the fatty acid profile of P. insidiosum as well as the fatty acid profile of other species of fungi. Unexpectedly, it has been found that P. insidiosum produces ARA without concomitant production of EPA. As with fish oils, high EPA levels in dietary supplements result in a depression of the ability to form ARA from dietary linoleic acid (LOA). Accordingly, while those fungal species producing both ARA and EPA can be utilized in the process of this invention, it is preferable to use species which do not produce significant quantities of Such preferred species include Pythium insidiosum and Mortierella alpina. Both species are available commercially and are on deposit with the American Type Culture Collective in Rockville, Maryland, having accession numbers 28251 and 42430, respectively. Throughout this disclosure, unless otherwise expressly stated, P. insidiosum will be the representative fungal species.

One of the significant problems which an embodiment of the present invention overcomes, is the depression of ARA biosynthesis in infants caused by the presence of enhanced dietary levels of EPA. This problem can be corrected by providing ARA for use in infant formula at levels substantially similar to those found in human breast milk. Typically in human breast milk, the ratio of ARA: EPA is about 20:1 respectively.

10

15

20

25

30

The present invention specifically contemplates any microbial oil which provides a sufficient amount of ARA to overcome the negative effects of dietary EPA. Preferably, the use of the ARA-containing oil will result in an ARA: EPA ratio of at least about 5:1. More preferably, the ratio will be at least about 10:1 and, most preferably, it will be at least about 20:1. As can be seen, the higher the amount of ARA in the end product, with respect to the amount of EPA, the more desirable is the result.

In a process of the present invention, the fungiare cultivated under suitable ARA-containing oil producing cultivating conditions. In general, techniques of fungal cultivation are well known to those of skill in the art and those techniques can be applied to the present inventive process. For example, cultivation of an inoculating amount of fungus can occur in submerged culture in shake flasks. The flask is provided with a growth medium, seeded with fungal mycelium, and grown on a reciprocating shaker for about three to four days.

The composition of the growth medium can vary but always contains carbon and nitrogen sources. A preferred carbon source is glucose, amounts of which can range from about 10-100 grams glucose per liter of growth medium. Typically about 15 grams/liter are utilized for shaker flask culture. The amount can be varied depending upon the desired density of the final culture. Other carbon sources which can be used include molasses, high fructose corn syrup, hydrolyzed starch or any other low cost conventional carbon source used in fermentation processes. Additionally, lactose can be provided as a carbon source for *P. insidiosum*. Thus, whey permeate, which is high in lactose and is a

15

E.

20

25

30

very low cost carbon source, can be used as a substrate. Suitable amounts of these carbon sources can readily be determined by those of skill in the art. Usually, additional carbon needs to be added during the course of the cultivation. This is because the organisms use so much carbon that adding it all in a batch mode could prove unwieldy.

Nitrogen typically is provided in the form of yeast extract at a concentration of from about 2 to about 15 grams extract per liter of growth medium. Preferably, about four grams per liter are provided. Other nitrogen sources can be used, including peptone, tryptone, cornsteep liquor, etc. The amount to be added of these sources can easily be determined by those of skill in the art. Nitrogen can be added in a batch mode, i.e. all at one time prior to cultivation.

After cultivation for 3-4 days at a suitable temperature, typically about 25-30°C, an amount of fungi has grown which is sufficient for use as an inoculum in a conventional stirred tank fermentor (STF). Such fermentors are known to those of skill in the art and are commercially available. Fermentation can be carried out in batch, fed-batch, or continuous fermentation modes. Preferably, the STF is equipped with a Rushton-type turbine impeller.

The fermentor is prepared by adding the desired carbon and nitrogen sources. For example, a 1.5 liter fermentor can be prepared by mixing about 50 grams of glucose and about 15 grams of yeast extract per liter of tap water. As previously discussed, other carbon or nitrogen sources or mixtures thereof can be used.

The reactor containing the nutrient solution should be sterilized by, for example, heating prior to inoculation. After cooling to about 30°C, the inoculum

10

15

20

25

30

can be added, and cultivation initiated. Gas exchange is provided by air sparging. The air sparging rate can vary, but preferably is adjusted to from about 0.5 to about 4.0 VVM (volume of air per volume of fermentor per minute). Preferably the dissolved oxygen level is kept at from about 10% to about 50% of the air saturation value of the solution. Accordingly, adjustments in the sparge rate may be required during cultivation. Agitation is desirable. The agitation is provided by the impeller. Agitation tip speed preferably is set within the range of from about 50 cm/sec to about 500 cm/sec, preferably from about 100 to 200 cm/sec.

In general, the amount of inoculum can vary. Typically, from about 2% to about 10% by volume of inoculum can be used. Preferably, in a fermentor seed train about 5% by volume of inoculum can be used.

Nutrient levels should be monitored. When glucose levels drop below 5 g/l, additional glucose should be added. A typical cultivation cycle utilizes about 100 grams of glucose and about 15 grams of yeast extract per liter. It is desirable to deplete the nitrogen during the course of the cultivation as this enhances oil production by the fungi. This is especially true when M. alpina is used as the production organism.

Occasionally, the culture will produce an excessive quantity of foam. Optionally, an antifoaming agent, such as those known to those of skill in the art, e.g. Mazu  $310^{\oplus}$ , can be added to prevent foam.

The temperature of cultivation can vary. However, those fungi which produce both ARA and EPA tend to produce less EPA and more ARA when cultivated at higher temperatures. For example, when Mortierella alpina is cultivated at less than 18°C, it begins to produce EPA.

.:

10

15

3.5

20

25

30

٠

Thus, it is preferable to maintain the temperature at a level which induces the preferential production of ARA. Suitable temperatures are typically from about 25°C to about 30°C.

preferably, cultivation continues until a desired biomass density is achieved. A desirable biomass is about 25 g/l of the organism. Such a biomass typically is attained within 48-72 hours after inoculation. At this time, the organisms typically contain about 5-40% this time, the organisms typically contain about 10-40% is ARA, complex lipids, i.e. oil, of which about 10-40% is ARA, and can be harvested.

and can be harvested.

Harvesting can be done by any suitable method such as, for example, filtration, centrifugation, or spray drying. Because of lower cost, filtration may be preferred.

After harvesting, the mycelial cake can be extracted. The mycelial cake refers to the collection of biomass resulting after harvest. The cake can be loose or pressed, crumbled or uncrumbled. Optionally, the cake can have any residual water removed, as by the cake can have any residual water removed, as by the cake can have any residual water removed, as by the cake can have any residual water removed, as by the cake can have any residual water removed. It is preferable to use if this option is selected, it is preferable to use nonpolar solvents to extract the ARA-containing oil. While any non-polar extract is suitable, hexane is

Alternatively, the wet cake (which typically contains about 30-50% solids) can be crumbled and extracted directly using polar solvents such as ethanol or isopropyl alcohol, or supercritical fluid extraction with solvents such as CO<sub>2</sub> or NO. Preferably, the cakes are crumbled prior to extraction. Advantageously, the present invention permits the economical use of supercritical fluid extraction techniques. McHugh, et al., Supercritical Fluid Extraction, Butterworth

10

15

20

25

30

(1986). Such techniques are known to those of skill in the art and include those presently applied, for example, to decaffeinate coffee beans. While the yields from both wet and dry extractions are similar, wet extraction generally is a more economical process.

A preferable method of aqueous extraction involves mixing the mycelial biomass with the polar solvent isopropyl alcohol in a suitable reaction kettle. Such kettles are known. The use of three to six parts of solvent per part of biomass is desired. Most preferably, the mixing is done under nitrogen or in the presence of antioxidants to prevent the oxidation of the ARA in the lipid extract. As used herein "lipid extract", "oil", "lipid complex" and "fungal oil" are used interchangeably.

After extracting, the mixture can be filtered to remove the biomass from the solvent containing the lipid extract. At this point, the biomass can be recovered and used as a food supplement. As used herein, "food supplement" means feed or an additive to be mixed with typical feed, such as grain, etc., that can be provided to animals.

The solvent is separated from the lipid extract and also can be recovered for reuse, as by evaporation into a suitable collector, leaving what is referred to herein as the "crude oil." Use of isopropyl alcohol as the solvent desirably results in the removal of any residual water from the crude oil, as the evaporation removes the water/isopropyl alcohol azeotrope which has spontaneously formed.

While the crude oil can be used without further treatment, it also can be further purified. Processes such as those used in the preparation of lecithin from vegetable products, and known to those of skill in the

Ξ

10

15

٠<u>Ļ</u> .

art, can be used in this additional purification step. Such processes do not chemically or covalently modify the ARA-containing lipids or the ARA itself.

Yields vary, but typically are about 5 grams of ARA-containing phospholipid per 100 grams of dried mycelia. In the case of *M. alpina*, an additional 10-30 grams of triglyceride per 100 grams of dry mycelia can be obtained. Either the crude oil or the refined product can be used for administration to humans. Both shall be included within the definition of ARASCO as used herein.

A most preferred object of the invention is to provide an additive for use with human infant formulas, such that the concentration of ARA in such formula closely approximates the concentration of ARA in human breast milk. Table 2 compares the composition of the fatty acids in ARASCO with those in breast milk and infant formula lacking and containing ARASCO.

Table 2. Fatty acid composition of fungal oil products and mother's milk	1k																	
ห'ง	t mi	ហ	o,	ص ص	ဖှ	0	0	_	2	0	2	0	-	7	o,	m	0	
othe	breast milk <sup>1</sup>	0.35	4.3	6.9	7.9	19.8	3.2		34.8	16.0	0.0	1:1	0.6	0.4	0.5	0.03	0.10	0.2
nd m	Ω,																	
tsa	oil																	
oduc	formula + oil	23.6	7.3	14.6	ۍ ش	7.0	0.3	2.3	. O.	17.3	1.0	0.14	1	0.03	0.64	1	:	ŀ
.1 px	orma	7							-	_								
il oi																		
funge	Infant formula														•			
of 1	t for	24.1	7.7	9.	œ.	8.	0.5	2.3	0.0	17.4	6.0	0.1	!	!	1	ł	:	
tion	nfan	2		Ä			_	•	Ä	-1			•	•	•	•	•	•
posit	듸																	
Com																		
acid	ARASCO	1	!	į į	4.6	16.0	3.2	;	5.4	o.	4.1	2.2	i	1.4	32.0	!	!	Į.
cty 6	A	•	•	•		Ä	•	•	7	٠.		•	•	•,	m	•	•	ľ
Fat	힏																	
2.	AC									9	3n3		36	<u>ب</u> و	91	ñ		91
able	Fatty Acid	8:0	0:0	2:0	4:0	6:0	6:1	8:0	18:1	18:2n6	8:3r	0:1	20:2n6	0:3n6	20:4n6	20:5n3	12:1	2:4n6
<u>-</u> -1	ഥ네		1		_	-	_	_	-1	~		13	6.4	17	"	"	4	(7

												(1990)
34.82	16.00	0.62	1.10	0.61	0.42	0.59	0.03	0.10	0.21	0.22	0.19	pp.115-156
10.3	17.3	1.0	0.14	:	0.03	0.64	ŀ	:		;	:	and Disease,
												in Health
10.0	17.4	6.0	0.1	;	;	1	!	ł		!	;	tv Acids i
26.4	6.6	4.1	2.2	1	1.4	32.0	1	;	ŀ	į	;	s, A., Omega-3 Fatty Acids in Health and Disease, pp.115-156 (1990)
18:1	18:2n6	18:3n3	20:1	20:2n6	20:3n6	20:4n6	20:5n3	22:1	22:4n6	22:5n6	22:6n3	1 Simopoulis,

20

10

15

20

25

30

As can be seen, the amount of ARA present in the infant formula supplemented by ARASCO closely approximates the ARA levels in human breast milk. Additionally, the total fatty acid composition of the infant formula has not been significantly altered by the addition of the ARASCO. Typically, between about 50 to about 1000 mg of ARASCO per liter of infant formula can be used. The specific amount of ARASCO required depends upon the ARA content. This can vary from about 10 to about 50% of the fatty acids in the However, typically the ARA content is about 30%. When the ARA content is about 30%, an especially preferred supplementation rate is about 600 to 700 mg of ARASCO per liter of infant formula. Such a rate dilutes the pre-existing fat components of an infant formula such as Similac® (Ross Laboratories, Columbus, Ohio) by only one part ARASCO to fifty parts formula oils. Preferably, the ARASCO is substantially free of EPA.

When Pythium insidiosum is used in the described process, the extracted ARA-containing oil is predominantly phospholipid. When Mortierella alpina is used in this process, the ARA-containing oil is predominantly triglyceride. Both forms of ARASCO are useful as additives to infant formula. The former not only provides the formula with ARA, but also with an emulsifier, i.e., phosphatidyl choline, which is commonly added to commercial formulas. The oil from M. alpina is likely to be more economical to produce.

The ARA-containing oil of the present invention has many uses in addition to its use as an additive for infant formula. As known to those of skill in the art, there are many pathologies associated with ARA deficiencies, such as marasmus (Vajreswari, et al.,

10

15

20

25

30

Metabolism 39:779-782 (1990)) or atopic diseases (Melnik, B., Monatsschr. Kinderheilta, 138:162-166 (1990)). In one embodiment of the present invention, those pathologies are treated by administering a pharmaceutically effective amount of the oil of the present invention. The oil can be administered enterally, topically or parenterally, as selected by the provider of health care.

Encapsulation, as known by those of skill in the art, is an effective method of enteral administration. Capsules containing the fungal oil can be administered to those persons requiring or desiring dietary supplementation of ARA. Such a method is particularly effective for administering ARA to pregnant or nursing women.

In instances where ARASCO is being administered to combat ARA deficiency associated pathologies, a pharmaceutically effective amount should be administered. This amount can be determined by those of skill in the art without undue experimentation.

Another embodiment of the present invention entails cosmetic compositions containing ARASCO. Cosmetic compositions refer to those compounds applied as cosmetics. A preferred example of such a composition is a wrinkle cream. Such cosmetic compositions provide an effective means of topically applying ARA to skin to assist in maintaining skin tone.

The invention having been generally described, the following specific non-limiting examples are set forth to further illustrate the invention.

Example 1. Preparation of *P. insidiosum* lipid and addition to infant formula

In an 80 liter (gross volume) fermentor, 51 liters of tap water, 1.2 kg glucose, 240 grams of yeast extract and 15 ml of MAZU 210S $^{\circledR}$  antifoam were combined. : 5 The fermentor was sterilized at 121°C for 45 minutes. An additional 5 liters of condensate water were added during the sterilization process. The pH was adjusted to 6.2, and approximately 1 liter of inoculum (at a cell density of 5-10g/l) of Pythium insidiosum (ATCC 10 #28251) then was added. The agitation rate was adjusted to 125 RPM (250 cm/sec tip speed) and the aeration rate was set at 1 SCMF (standard cubic feet per minute). At hour 24 in the operation the aeration rate was increased to 3 SCFM. At hour 28 an additional 15 2 liters of 50% glucose syrup (1 kg glucose) were added. At hour 50 the fermentor was harvested, resulting in a yield of about 2.2 kg wet weight (approximately 15 g dry weight) per liter. Harvested biomass was squeezed to a high solids cake (50% solids) 20 on a suction filter before freeze drying. The dried biomass was ground with a mortar and pestle and extracted with 1 liter of hexane per 200 grams of dry biomass at room temperature under continuous stirring for 2 hours. The mixture then was filtered and the 25 filtrate evaporated to yield about 5-6 grams of crude oil per 100 grams of dry biomass. The biomass then was reextracted with 1 liter of ethanol per 20 grams of dry biomass for 1 hour at room temperature, filtered, and the solvent evaporated yielding an additional 22 grams 30 of crude oil per 100 grams of dry biomass. The second fraction was predominantly phospholipids whereas the first fraction contained a mixture of phospholipids and triglycerides. The combined fractions produced an oil

10

15

containing about 30-35% arachidonic acid and no detectable EPA. This oil was added dropwise to the commercial infant formula product Simulac<sup>®</sup> (Ross Laboratories, Columbus, Ohio) at a supplementation rate of 600 mg per liter of prepared medium.

Example 2. Preparation of M. alpina lipid and addition to infant formula

Mortierella alpina (ATCC #42430) was grown in a 2 liter shake flask containing 1 liter of tap water and 20 grams of potato dextrose medium. The flask was under constant orbital agitation and was maintained at 25°C for seven days. After harvesting by centrifugation, the biomass was freeze dried yielding about 8 grams of lipid-rich mycelia. The mycelia was extracted using hexane as in example #1 and about 2.4g of crude oil resulted. This oil contains about 23% arachidonic acid and was added to the commercial formula Similac® dropwise in concentrations of 1000 mg per liter.

. .

We claim:

- 1. A method for the production of an arachidonic acid-containing oil substantially free of eicosapentaneoic acid, comprising:
- (a) cultivating a species of *Pythium* which produces an arachidonic oil substantially free of eicosapentaneoic acid under suitable oil-producing-cultivating conditions;
  - (b) harvesting said Pythium;
- (c), extracting said oil from said harvested
  10 Pythium, and
  - (d) recovering said oil.
  - 2. The method of claim 1, wherein said species comprises *Pythium insidiosum*.
  - 3. Oil produced by a cultivated oil-producing species of *Pythium*, said oil containing arachidonic acid substantially free of eicosapentaneoic acid.
  - 4. The oil of claim 3, wherein said Pythium comprises P. insidiosum.
  - 5. The method of claim 1, wherein said oil comprises at least about 10 parts arachidonic acid per part eicosapentaneoic acid.
  - 6. The method of claim 1, wherein said extraction comprises treating said harvested biomass with a supercritical solvent.
  - 7. The method of claim 6, wherein said solvent comprises  $\text{CO}_2$  or NO.
  - 8. A method of providing arachidonic acid to an infant formula comprising adding an unmodified arachidonic acid-containing fungal oil to said infant formula.
  - 9. The method of claim 8, wherein said fungus comprises a species of *Pythium* or *Mortierella*.

- 10. The method of claim 9, wherein said *Pythium* comprises *P. insidiosum* and said *Mortierella* comprises *M. alpina*.
- 11. The method of claim 8, wherein said fungal oil is substantially free of eicosapentaneoic acid.
- 12. An additive for infant formula comprising an unmodified arachidonic acid-containing fungal oil.
- 13. The additive of claim 11, wherein said fungus comprises a species of Pythium or Mortierella.
- 14. The additive of claim 13, wherein said Pythium comprises P. insidiosum and said Mortierella comprises M. alpina.
- 15. A method of treating pathologies arising from arachidonic acid deficiencies in humans comprising administering a pharmaceutically effective amount of an unmodified arachidonic acid-containing fungal oil to a human in need of such treatment.
- 16. The method of claim 15, wherein said fungal oil is extracted from a species of *Pythium* or *Mortierella*.
- 17. The method of claim 16, wherein said *Pythium* comprises *P. insidiosum* and said *Mortierella* comprises *M. alpina*.
- 18. The method of claim 17, wherein said fungal oil is administered enterally.
- 19. The method of claim 18, wherein said oil is substantially free of eicosapentaenoic acid.
- 20. The method of claim 17, wherein said fungal oil is administered topically.
- 21. The method of claim 17, wherein said fungal oil is administered parenterally.
- 22. The method of claim 18, wherein said method of administration comprises administering an

· · ·

5

10

encapsulated form of arachidonic acid containing fungal oil.

- 23. The method of claim 18, wherein said human comprises a pregnant or nursing woman.
- 24. The method of claim 22, wherein said human comprises a pregnant or nursing woman.
- 25. Cosmetic compositions comprising arachidonic acid-containing fungal oils.
- 26. The composition of claim 25, wherein said oil is from a fungus comprising a species of *Pythium* or *Mortierella*.
- 27. The composition of claim 25, wherein said Pythium comprises P. insidiosum and said Mortierella comprises M. alpina.
- 28. The composition of claim 27, wherein said composition comprises a wrinkle cream.
- 29. A food supplement comprising the extracted Pythium of claim 1.
- 30. A method of producing an arachidonic acid-containing fungal oil from the species *Pythium* insidiosum, comprising:
- (a) cultivating P. insidiosum in a stirred tank fermentor containing glucose and yeast extract to achieve a desired biomass containing said fungal oil,
  - (b) harvesting said biomass,
- (c) extracting said fungal oil from said biomass by mixing said biomass with a polar solvent, such that said solvent extracts said oil from said biomass,
- (d) separating said solvent containing said extracted oil from said biomass, and
  - (e) recovering said oil from said solvent.
- 31. The process of claim 30 further comprising further purifying said oil.

- 32. The process of claim 31, wherein said fermentor has a sparge rate of from about 0.5 VVM to about 2.0 VVM and an agitation tip speed of from about 50 cm/sec to about 350 cm/sec.
- 33. The process of claim 30, wherein said harvesting is done by filtration.
- 34. The process of claim 33, wherein said solvent comprises isopropyl alcohol.
- 35. The process of claim 34, wherein about 3 parts solvent per part biomass are added.
- 36. The process of claim 35, wherein said oil is recovered by evaporation of said solvent.
  - 37. The oil produced by the process of claim 30.
- 38. An additive for infant formula comprising the crude oil of claim 37.
- 39. The additive of claim 38, wherein said oil comprises at least about five times more arachidonic acid than eicosapentaneoic acid.
- 40. A method for treating pathologies arising from arachidonic acid deficiencies comprising administering a pharmaceutically effective amount of the oil of claim 37.
- 41. Cosmetic compositions comprising the oil of claim 37.
- 42. The cosmetic composition of claim 41, comprising a wrinkle cream.
- 43. A process for the production of arachidonic acid-containing oil substantially free of eicosapentaneoic acid from *Pythium insidiosum* comprising;
- (a) cultivating said *Pythium* in a fermentor in the presence of growth sustaining amounts of glucose and yeast extract until a desired amount of biomass is produced,

חשר שושחשואו

- (b) harvesting said biomass,
- 10 (c) drying said biomass,
  - (d) extracting said dried biomass with a nonpolar solvent to produce a solvent/oil mixture,
  - (e) separating said biomass from said solvent/oil mixture, and
    - (f) recovering said oil from said solvent.
  - 44. The process of claim 43 further comprising reextracting said biomass with a polar solvent, and repeating steps (d) through (f) to recover additional oil from said polar solvent.
  - 45. The process of claim 43, wherein said fermentor has a sparge rate of from about 0.5 to about 2.0 VVM and an agitation tip speed of from about 50 cm/sec to about 350 cm/sec.
  - 46. The proces of claim 43, wherein said harvesting is done by filtration.
  - 47. The process of claim 44, wherein said non-polar solvent comprises hexane.
  - 48. The process of claim 47, wherein about 3 parts solvent per part biomass are added.
  - 49. The process of claim 48, wherein said oil is recovered by evaporation of said solvent.
  - 50. The process of claim 47, wherein said polar solvent comprises ethanol.
    - 51. The oil produced by the process of claim 43.
  - 52. An additive for infant formula comprising the crude oil of claim 51.
  - 53. The additive of claim 52, wherein said oil comprises at least about 5 parts arachidonic acid per part eicosapentaneoic acid.
  - 54. A method for treating pathologies arising from arachidonic acid deficiencies comprising

administering a pharmaceutically effective amount of the oil of claim 51.

- 55. Cosmetic compositions comprising the oil of claim 51.
- 56. The cosmetic composition of claim 55, comprising a wrinkle cream.

### INTERNATIONAL SEARCH REPORT

			international Application No. P	C1/0592/00517						
		ON F SUBJECT MATTER (if several		icate all) <sup>3</sup>						
	•	ational Patent Classification (IPC) or to bo								
US CL	: 435/1	7/64; A23C 9/00; A61K 6/00, 7 34;424/401;426/585	//00 							
II. FIELD	DS SEAR									
			mentation Searched <sup>4</sup>							
Classificati	on System		Classification Symbols							
v.s.		435/134,424/401,426/585	5							
		Documentation Searched to the extent that such Docu	other than Minimum Documentation	on arched <sup>5</sup>						
III. DOC	UMENTS	CONSIDERED TO BE RELEVANT 14								
Category*	Citatio	n of Document, <sup>16</sup> with indication, where ap	propriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. 18						
Y		1,196,255 (SUNTORY, LTD) 0 ACT NO. 89-268287/37	8 AUGUST 1989. SEE WPI	1-56						
<b>Y</b>		1,215,245, (SUNTORY, LTD) ACT NO. 89-290735/40.	29 AUGUST 1989. SEE WPI	1-56						
Y,E		5,089,269, (NODA ET AL) E 3-6.	18 FEBRUARY 1992. SEE	25-28,55-56						
A,P	US,A, DOCUME		AY 1991. SEE ENTIRE	1-56						
A		4,526,793, (INGENBLEEK ET DOCUMENT.	AL) 02 JULY 1985. SEE	1-56						
"A" document of the control of the c	not considered to be of particular relevance  "E" earlier document but published on or after the international filing date  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  application but cited to understand the principle or theory underlying the invention cannot; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step document of particular relevance; the claimed invention cannot be considered to involve an inventive step document of particular relevance; the claimed invention cannot be considered to involve an inventive step document of particular relevance; the claimed invention cannot be considered to involve an inventive step document of particular relevance; the claimed invention cannot be considered to involve an inventive step document of particular relevance; the claimed invention cannot be considered to involve an inventive step document of particular relevance; the claimed invention cannot be considered to involve an inventive step document of particular relevance; the claimed invention cannot be considered to involve an inventive step document of particular relevance; the claimed invention cannot be considered to involve an inventive step document of particular relevance; the claimed invention cannot be considered to involve an inventive step document of particular relevance; the claimed invention cannot be considered to involve an inventive step document of particular relevance; the claimed invention cannot be considered to involve an invention cann									
		shed prior to the international filing date ne priority date claimed	being obvious to a person skil "&" document member of the sam							
IV. CERT										
_		completion of the International Search <sup>2</sup>	Date of Melling of this International U 5 MAY 1992	Search Report <sup>2</sup>						
	MARCH									
	nal Searchi L/US	ng Authority <sup>1</sup>	Signature of Authorized Officer 20  L. BLAINE LANKFORD	n						

Form PCT/ISA/210 (second sheet)(May 1986) B